

TRINUCLEOTIDE REPEATS: Mechanisms and Pathophysiology

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Key Words dynamic mutations, repeat expansion, polyglutamine disease, protein aggregates, neurodegenerative disease

■ **Abstract** Within the closing decade of the twentieth century, 14 neurological disorders were shown to result from the expansion of unstable trinucleotide repeats, establishing this once unique mutational mechanism as the basis of an expanding class of diseases. Trinucleotide repeat diseases can be categorized into two subclasses based on the location of the trinucleotide repeats: diseases involving noncoding repeats (untranslated sequences) and diseases involving repeats within coding sequences (exonic). The large body of knowledge accumulating in this fast moving field has provided exciting clues and inspired many unresolved questions about the pathogenesis of diseases caused by expanded trinucleotide repeats. This review summarizes the current understanding of the molecular pathology of each of these diseases, starting with a clinical picture followed by a focused description of the disease genes, the proteins involved, and the studies that have lent insight into their pathophysiology.

INTRODUCTION

In 1991, the gene responsible for fragile X syndrome (FRAXA) was found to contain an unstable, expanded (CGG)_n trinucleotide repeat in its 5' untranslated region (UTR) (79). That same year, spinobulbar muscular atrophy (SBMA), also known as Kennedy's disease, was found to be associated with an unstable expanded (CAG)_n trinucleotide repeat within the coding region of the androgen receptor (AR) gene (153). With the convergence of these two discoveries, the expansion of unstable trinucleotide repeats became a novel genetic paradigm for disease and thereby provided an explanation for an unusual pattern of inheritance that leads to a worsening phenotype in each subsequent generation of families affected by the disease. In the following years came the identification of five other neurological diseases caused by untranslated triplet repeats found in the 3' [myotonic dystrophy

(DM)], the 5' [fragile XE mental retardation (MR) and spinocerebellar ataxia (SCA) type 12], the intronic [Friedreich ataxia (FRDA)], and even in potential antisense sequences [SCA type 8 (SCA8)]. Additionally, seven other neurodegenerative diseases are now known to result from expansion of (CAG) n repeats coding for polyglutamine tracts in the corresponding proteins: Huntington disease (HD), dentatorubropallidoluysian atrophy (DRPLA), and the SCA types 1, 2, 3, 6, and 7. In general, trinucleotide repeat disorders are either dominantly inherited or X-linked, the one exception being FRDA, which is autosomal recessive. The mutant repeats show both somatic and germline instability and they expand more frequently than they contract in successive transmissions. The molecular basis of repeat instability is not well understood nor is it known whether somatic and germline instabilities share common mechanisms of expansion (for review, see 284). Increased severity of the phenotype and earlier age of onset in successive generations (anticipation) are generally associated with larger repeat length. The parental origin of the disease allele can influence anticipation; for most of these disorders there is greater risk of repeat expansion upon paternal transmission, whereas, in FRAXA, FRDA, and congenital forms of DM, the maternally transmitted alleles are more prone to cause the most severe phenotypes. Some of the features of each of the known trinucleotide repeats are summarized in Table 1 and are discussed in greater detail in the following sections; Table 2 lists mechanisms of pathophysiology.

COMMON FEATURES OF NONCODING DISORDERS

The mechanism of pathogenesis in noncoding-repeat diseases varies, depending on the consequences of loss of function of the respective proteins or, in some cases, acquired function of a toxic triplet repeat transcript. The trinucleotide sequence and its location in relationship to a gene may play a prominent role in dictating the unique mechanism of pathogenesis for each disorder. Despite these inherent differences, many similarities exist within this group of diseases. First, the noncoding-repeat diseases are typically multisystem disorders involving the dysfunction/degeneration of many different tissues. In fact, phenotypes within a disorder are also often variable, perhaps owing to a more pronounced degree of somatic heterogeneity in the noncoding repeats compared with the coding repeat tracts; this is especially evident in fragile X and DM. Next, the size and variation of the repeat expansions are much greater in the noncoding-repeat diseases than in the polyglutamine repeat diseases. Finally, many of the noncoding-repeat disorders can be associated with a small pool of clinically silent, intermediate-size expansions, or premutations, that may expand to the full mutation after germline transmission (one notable exception to this rule at this time is FRDA). Some other common motifs are highlighted in the following sections.

TABLE 1 Summary of trinucleotide repeat disorders

Disease	Gene	Locus	Protein	Repeat	Repeat Size		Repeat location
					Normal	Disease	
Fragile X syndrome	<i>FMR1</i> (FRAXA)	Xq27.3	FMR-1 protein (FMRP)	CGG	6–53	60–200 (pre) >230 (full)	5'-UTR
Fragile XE syndrome	<i>FMR2</i> (FRAXE)	Xq28	FMR-2 protein	GCC	6–35	61–200 (pre) >200 (full)	5'-UTR
Friedreich ataxia	X25	9q13-21.1	Frataxin	GAA	7–34	34–80 (pre) >100 (full)	Intron 1
Myotonic dystrophy	<i>DMPK</i>	19q13	Myotonic dystrophy protein kinase (DMPK)	CTG	5–37	50–thousands	3'-UTR
Spinobulbar muscular atrophy (Kennedy disease)	<i>AR</i>	Xq13-21	Androgen receptor (AR)	CAG	9–36	38–62	Coding (amino terminal)
Huntington disease	<i>HD</i>	4p16.3	Huntington	CAG	6–35	36–121	Coding (amino terminal)
Dentatorubral-pallidoluysian atrophy (Haw River syndrome)	<i>DRPLA</i>	12p13.31	Atrophin-1	CAG	6–35	49–88	Coding (amino terminal)
Spinocerebellar ataxia type 1	<i>SCA1</i>	6p23	Ataxin-1	CAG	6–44	39–82	Coding (amino terminal)
Spinocerebellar ataxia type 2	<i>SCA2</i>	12q24.1	Ataxin-2	CAG	15–31	36–63	Coding (amino terminal)
Spinocerebellar ataxia type 3 (Machado-Joseph disease)	<i>SCA3 (MJD1)</i>	14q32.1	Ataxin-3	CAG	12–40	55–84	Coding (carboxy terminal)
Spinocerebellar ataxia type 6	<i>SCA6</i>	19p13	α_{1A} -Voltage-dependent calcium channel subunit	CAG	4–18	21–33	Coding (carboxy terminal)
Spinocerebellar ataxia type 7	<i>SCA7</i>	3p12-13	Ataxin-7	CAG	4–35	37–306	Coding (amino terminal)
Spinocerebellar ataxia type 8	<i>SCA8</i>	13q21	None	CTG	16–37	110 to <250?	3'-Terminal exon (antisense?)
Spinocerebellar ataxia type 12	<i>SCA12</i>	5q31-33	PP2A-PR55 β (PPP2R2B)	CAG	7–28	66–78	5'-UTR

TABLE 2 Proposed mechanisms of pathophysiology in trinucleotide repeat diseases

Disease	Effect of mutation	Mechanism of disease
Fragile X syndrome	Loss of function	Reduced FMR1 triggering abnormal RNA metabolism (misregulation of localized protein synthesis)
Fragile XE syndrome	Loss of function	Reduced FMR2 causing abnormal neuronal gene regulation
Friedreich ataxia	Loss of function	Reduce frataxin causing altered iron homeostasis and mitochondria dysfunction
Myotonic Dystrophy	Loss of function Gain of function	1) haploinsufficiency of DMPK causing altered kinase activity (muscle defects?) 2) cis effects on neighbor gene expression (cognitive/dysmorphology?) 3) dominant effect on RNA metabolism with loss of CUG-BP function
SCA8	Loss/gain of function?	Abnormal antisense regulation?
SCA12	Loss of function?	Abnormal phosphatase activity?
HD, SBMA, DRPLA, SCA1,2,3,6,7	Gain of function	Protein misfolding; abnormal protein-protein interactions; altered proteolysis; altered gene expression

Fragile X Syndrome (FRAXA): Abnormal RNA Metabolism

Clinico Pathology FRAXA is an X-linked disorder that typically presents in males. Symptomatic females are generally less severely affected, depending on the ratio of cells with the normal X chromosome active (1, 222). Although MR is the most common feature of FRAXA, phenotypes vary and may include postpubescent macroorchidism, long and prominent ears and jaws, high-pitched speech, hyperactivity, poor eye contact, and stereotypic hand movements such as hand flapping and hand biting. FRAXA has a prevalence rate of 1 in 4000 and accounts for ~30% of all X-linked MR (241, 270). The prevalence rate may be substantially higher given the broad spectrum of physical and behavioral features in patients who do not have MR (96).

Mutation As its name implies, FRAXA was originally identified through its association with a folate-sensitive fragile site at Xq27.3 in a proportion of affected patients. Sequence analysis of cosmids and cDNAs coincident with this fragile site revealed a polymorphic (CGG)_n repeat present in the 5'-UTR of the fragile X MR gene (*FMR1*) (79, 273). Normal alleles contain from 6 to 53 CGG repeats punctuated by one or more AGGs, which seem to stabilize the CGG tract (71, 79, 195, 273). Loss of the interspersed AGGs and expansion of the CGG repeat beyond 230 trinucleotides cause disease and hypermethylation of the CGG repeat as well as a CpG island within the *FMR1* promoter region (14, 71, 79, 98, 212, 254).

This hypermethylation results in the recruitment of transcriptional silencing machinery to the *FMRI* regulatory region, followed by loss of its transcription. A number of observations in cells from patients with FRAXA support this model, including (a) altered chromatin structure at the 5' end of *FMRI* (70), (b) absent protein-DNA footprint profiles at the *FMRI* locus (66, 236), and most recently (c) loss of acetylated histones associated with the 5' end of *FMRI* (45). Hyper-expansion is associated exclusively with maternal transmission, and the risk of expansion to >230 repeats is dependent on the size of the premutation in female carriers. For example, females with premutations below 60 repeats have a low probability of having affected offspring, whereas premutations beyond 90 repeats indicate a high risk of expansion to the full mutation with maternal transmission. Further expansion of the repeat in successive generations provided a molecular explanation for the Sherman paradox (increasing penetrance through subsequent generations in FRAXA) (242, 243).

Gene Product and Pathophysiology The *FMRI* gene product FMRP is a selective RNA-binding protein that contains two K homology domains and an RGG box; it shuttles between the nucleus and the cytoplasm (8, 63, 87, 245, 272). In the cytoplasm, FMRP forms messenger ribonucleoprotein complexes and associates with polyribosomes or endoplasmic reticulum-associated ribosomes (49, 132). FMRP binds to an estimated 4% of brain messenger RNA (mRNA) and may, therefore, be involved in nuclear export and ribosomal targeting of a select group of transcripts (8). *FMRI* is widely expressed in both fetal and adult tissues, with highest levels in brain (63). In neurons, FMRP's association with translational machinery in dendritic spines suggests that it may play a role in modulating the localization and/or translation of its target mRNAs (49, 132). Regulation of localized protein synthesis is known to be important in cell growth, polarity, and the management of synaptic plasticity that accompanies learning- and memory-related events such as long-term potentiation.

The mutation in FRAXA results in a loss of the normal function of FMRP, because pathologically expanded repeats are associated with decreased transcription of *FMRI* and loss of gene product (165, 212, 254). Furthermore, those few cases known to have deletions of *FMRI* show the same clinical phenotype (267). The inverse relationship between the size of the repeat and FMRP expression level correlates with disease severity. The biochemical abnormality leading to MR in FRAXA may result from failure of translation of key proteins during synaptic development or maintenance. The other phenotypic manifestations associated with FRAXA could be expected from the potential pleiotropic effects caused by abnormal RNA metabolism. This model has been supported by studies in mice lacking the *Fmr1* gene (47). These mice exhibit cognitive and behavioral deficits, macroorchidism, and hyperactivity—abnormalities comparable with those of human fragile X patients.

A better understanding of the pathogenetic mechanism in FRAXA will come from studies delineating the FMRP-interacting proteins, the target RNAs regulated by FMRP, and the role FMRP plays in RNA metabolism. *FMRP* is a member

of a gene family that contains two autosomally encoded fragile X-related proteins, FXR1P and FXR2P. All three proteins show significant homology (60%), including conservation of the functional RNA-binding domains (135, 246). Although overlap of expression of the three proteins is not always the rule, they are capable of interacting as homo- and heterodimers and can be coimmunoprecipitated as a complex, suggesting a functional role as a ribonucleoprotein complex (258, 294). At present, the functions of FXR1P and FXR2P—and whether these functions could compensate for some of those lost in unaffected FRAXA cells—remain a mystery (131). Nucleolin and nuclear FMRP-interacting protein are two other FMRP-associated proteins recently identified (11, 35). The physiological significance of these new interactors is not yet known, but they may function as part of the FMRP-ribonucleoprotein complex that regulates specific RNA metabolism.

Fragile XE Mental Retardation (FRAXE): Alterations in Neuronal Gene Regulation

Clinicopathology Although phenotypes may vary, Fragile XE MR (FRAXE) patients have mild MR, have learning deficits, and may be developmentally delayed (190). Some FRAXE individuals present with behavioral abnormalities, which may include attention deficits and hyperactivity. The prevalence is 1%–4% that of FRAXA and, as expected for an X-linked trait, the cognitive deficits are milder in females (25). Physical phenotypes such as those in FRAXA have not been described in FRAXE patients.

Mutation FRAXE is caused by an expansion of a polymorphic (GCC)_n repeat in a folate-sensitive fragile site immediately adjacent to a CpG island, 600 kilobases distal to the FRAXA locus (40, 138). The repeat size varies from 6 to 35 copies on normal alleles that are relatively stable on transmission, whereas repeat sizes in the premutation range (61–200 copies) may expand to the full mutation (>200 copies). Parent-of-origin effects are similar to those described above with FRAXA, but reductions in repeat number are frequently observed in FRAXE upon germline transmission, and males with the full mutation do have affected daughters with full mutations (139).

Gene Product and Pathophysiology Similar to the FRAXA expansion, the FRAXE GCC repeat resides in the promoter region of a gene termed *FMR2* (40, 82, 92). The expanded repeats are also abnormally methylated, leading to transcriptional silencing of *FMR2*. The *FMR2* gene product has amino acid motifs that show similarity to two other human proteins, AF4 and LAF-4 (20, 81, 167). All three proteins exhibit nuclear localization, DNA-binding capacity, and transcription transactivation potential (20, 81, 167). *FMR2* transcripts have been detected in multiple tissues including, but not limited to, adult and fetal brain, placenta, lung, and kidney (40, 83). Within the brain, highest expression levels are in the hippocampus and the amygdala (39, 40).

The cognitive and behavioral deficits in FRAXE likely result from transcriptional silencing of the *FMR2* gene and subsequent loss of FMR2 protein function. This is supported by studies in patients who have deletions within the *FMR2* gene resulting in loss-of-function mutations and phenotypes not unlike FRAXE individuals carrying an expanded repeat (84). Although FMR2's precise function(s) are unknown, its putative role as a transcriptional activator and its high level of expression in areas of the brain involved in learning, memory, and emotion suggest that the pathogenic mechanism in FRAXE is caused by alterations in neuronal gene regulation.

Friedreich Ataxia (FRDA): Abnormal Iron Homeostasis

Clinicopathology FRDA, the most prevalent inherited ataxia, is autosomal recessive and therefore does not show the anticipation common to other triplet repeat disorders (99, 220). FRDA is characterized by unremitting gait, limb, and truncal ataxia, diminished tendon reflexes, loss of position and vibratory senses, dysarthria, cardiomyopathy, and diabetes mellitus; less commonly nystagmus, optic atrophy, scoliosis, and/or skeletal abnormalities are evident. As indicated by the range of clinical manifestations, FRDA is a multisystem disorder characterized pathologically by degeneration of the posterior columns of the spinal cord, invariable loss of large primary sensory neurons of the dorsal root ganglia, and atrophy of several peripheral sensory systems. In contrast to many of the other inherited ataxias, the cerebellar cortex shows only mild, late-stage degeneration. The age of onset is typically early childhood, and the combination of neurological impairment, cardiomyopathy, and occasionally diabetes inevitably shortens life span.

Mutation FRDA is primarily caused by a large intronic GAA repeat expansion located in the center of an Alu repeat in the X25 gene (also known as *frataxin*) (32). FRDA is unique among the triplet repeat disorders, not only because of the repeat location (intronic) and nucleotide sequence (AT-rich unlike GC-rich triplets), but also because affected individuals carry two mutant alleles. Also remarkable is that the GAA repeat expansion is the most common to date, which explains the high carrier frequency of 1 in 85 (51). Normal alleles contain 7–34 repeats, whereas disease-causing alleles contain ≥ 100 repeats. There are a few large normal alleles that contain 33–55 trinucleotides; GAG interruptions in these large “normal” alleles are thought to restrain the repeats from expanding into the disease-causing range (51, 187). Intermediate premutation alleles containing 34–65 pure GAA repeats have been found to expand to 300–650 repeats in a single generation (51, 187). The unstable GAA repeat undergoes both expansions and contractions after maternal transmission but predominantly contracts when passed through the father (60, 186).

Gene Product and Pathophysiology The X25 gene product, frataxin, is a 210-amino-acid protein that has well-conserved homologs in many lower organisms (86). With highly conserved mitochondrial targeting signal sequences and

mitochondrial membrane localization in yeasts and humans, frataxin is thought to be involved in iron homeostasis and respiratory function (9, 31, 77, 149, 287). Expression studies in mice and humans show that gene expression correlates in part with the primary sites of pathology in FRDA, including the dorsal root ganglia, spinal cord, sensory nerves, and non-neuronal tissues such as the heart and pancreas (32, 149). Several other tissues unaffected by disease also express significant levels of frataxin. A commonality among all frataxin-expressing tissues is that they are rich in mitochondria. Those that are most affected, however, are postmitotic (e.g. neurons, cardiocytes, and β cells), suggesting that tissues with renewal potential have an advantage in FRDA pathogenesis.

Expanded GAA repeats are associated with reduced X25 expression (32, 50). Unlike fragile X, the expanded AT-rich sequence does not mediate transcriptional silencing through hypermethylation; rather, it has been hypothesized that transcriptional interference is via a self-association of the GAA/TTC tract, thereby stabilizing the DNA in a higher-order triplex structure (225). There is no *in vivo* evidence for missplicing or altered RNA processing; RNase protection assays and *in vitro* transcription experiments show that all portions of frataxin mRNA are reduced (19, 50). Moreover, heterozygous carriers show an intermediate level of mRNA expression between those carrying the wild type and affected individuals (18, 50). Reduced X25 mRNA results in decreased frataxin levels, suggesting that FRDA results from a partial loss of frataxin function (19, 31, 50, 196). In support of this notion, patients who carry only one expanded allele and one truncating point mutation on the second allele have all of the clinical features of typical FRDA (12, 32, 50).

Disruption of the yeast *frataxin* homolog (*YFH1*) resulted in abnormal accumulation of mitochondrial iron, loss of mitochondrial DNA, respiratory dysfunction, multiple iron-sulfur-dependent enzyme deficiencies, and increased sensitivity to oxidative stress (9, 31, 77, 149, 287). In hearts of FRDA patients, increased iron content has been reported (154) and studies in endomyocardial biopsies of two unrelated FRDA patients demonstrated deficient activities of proteins involved in iron-sulfur homeostasis, including aconitase (221). More recently, FRDA fibroblasts in culture were shown to be hypersensitive to iron and hydrogen peroxide stress, and the kinetics of cell death correlated well with the concentrations of iron and H_2O_2 (288). These results are consistent with the following model for FRDA: frataxin insufficiency results in abnormal iron-sulfur homeostasis and, in turn, mitochondrial dysfunction, free-radical production, oxidative stress, and cellular degeneration. This model is particularly relevant to the degeneration of cells with exclusively aerobic metabolism (e.g. neurons and cardiocytes), which makes them more sensitive to mitochondrial defects.

Myotonic Dystrophy (DM): Multiple Mechanisms in DM Pathogenesis

Clinicopathology DM is an autosomal dominant, multisystem disorder with highly variable manifestations and anticipation (100, 107). Myotonia, muscle

weakness, and progressive muscle wasting primarily characterize classical adult-onset DM. Other features may include facial dysmorphism, presenile cataracts, testicular atrophy, premature balding in males, kidney failure, hyperinsulin secretion, and cardiac conduction abnormalities. There is also variable loss of mental function, but this is more common with congenital DM, which is the most severe form of the disorder (and may also be associated with hypotonia, respiratory distress at birth, and developmental abnormalities) (100). Although variation in prevalence exists between different ethnic groups, the estimated global incidence is 5 in 100,000 with ~ 1 in 8000 affected individuals in North American and western European populations, making it one of the most frequently inherited neuromuscular diseases (100).

Mutation The mutation in DM is an expanded CTG trinucleotide repeat tract in the 3'-UTR of a protein kinase gene, *DMPK* (24, 30, 80, 170). Wild-type chromosomes with a stable but polymorphic repeat have 5–37 CTGs, whereas all DM patients have expanded repeats ranging from 50 in adult-onset cases to several thousand repeats in some congenital cases. DM and FRAXA thus present the most striking expansions in the trinucleotide repeat family. Also unique in DM is the extreme degree of somatic heterogeneity. Although the length of CTG expansion often correlates with severity of symptoms, mitotic somatic events and germline variation contribute substantially to CTG-length mosaicism and phenotypic variability (5, 7, 108, 134, 260, 269).

Gene Product and Pathophysiology The underlying molecular mechanism by which the expanded repeat causes the DM phenotype remains unknown. The dominant inheritance and variable expressivity suggest that DM has a complex multifaceted pathophysiology, which may involve a combination of molecular defects that have different consequences for different tissues at different developmental stages. Given that the repeat expansion is located outside the coding region, a gain of function mechanism at the protein level is improbable. A number of other mechanisms could contribute either mutually or exclusively to disease; these include (a) haploinsufficiency of *DMPK* protein, (b) local chromatin effects on the expression of neighboring genes, and (c) novel gain of function(s) conferred on the expanded *DMPK* mRNA. Each mechanism is described in more detail below.

Although the exact physiological role of this kinase is unknown, *DMPK* is predicted to have several functions based on putative substrates and interacting proteins, including the modulation of skeletal muscle sodium channels, Ca^{2+} homeostasis, RNA metabolism, and cell stress response (reviewed in 262). The CTG repeat could indirectly alter *DMPK* protein levels by interfering with *DMPK* transcription, RNA processing, and/or translation (78, 151). The result would be abnormal phosphorylation of downstream substrates, depending on the *DMPK* kinase activity. The effect of the CTG expansion on *DMPK* levels and the protein's role in disease manifestation are, however, controversial. Quantitation of *DMPK* mRNA

and protein levels in DM patient material has demonstrated increases, decreases, and no change compared with the wild type (17, 33, 78, 104, 142, 151, 169, 224). A possible explanation for the different results may stem from the finding that transcripts from the expanded allele are abnormally retained in the nucleus, within discrete nuclear foci (59, 97, 259). The nuclear retention of expansion-derived transcripts may not only explain a loss-of-function mechanism, but may also support a gain of function for the nuclear-retained transcripts (see below). Unlike FRDA, no confirmed case of DM has been attributed to a mutational defect other than the expansion of the CTG repeat. Additionally, patients who are homozygous for the DM mutation do not differ in clinical expression from typical DM heterozygotes (44, 174). Moreover, mice lacking *DMPK* develop minor myopathy and muscle weakness, whereas those overexpressing *DMPK* develop hypertrophic cardiomyopathy and neonatal lethality, suggesting that changes in *DMPK* levels alone are not sufficient to cause the full spectrum of the DM phenotype (119, 216).

The identification of two other genes flanking *DMPK* raised the possibility that CTG expansion may have *cis*-chromatin effects that could alter neighboring gene expression (21). This hypothesis was initially supported by the finding that the size of the CTG repeat correlates with enhanced nucleosomal packaging and lost DNase I hypersensitivity adjacent to the repeat (202, 277, 278). Sequence analysis of this region revealed that the CTG repeat is located within the promoter of a downstream homeobox gene, termed DM locus-associated homeodomain protein [*DMAHP*, also known as *SIX5* (21, 137, 261)]. Furthermore, *DMAHP/SIX5* expression was reduced, in a repeat-dependent manner, in DM patient fibroblasts, myoblasts, muscle, and myocardium (137, 261). These findings are intriguing and suggest that haploinsufficiency of *DMAHP/SIX5* may participate in the pathophysiology of the developmental abnormalities seen in some DM patients. As with variable *DMPK* levels in DM, it is not clear what happens to *DMAHP* expression (2, 97). A clearer picture may stem from future studies in *Six5*-deficient and *Six5/Dmpk* doubly deficient mice.

The second gene in the myotonic-dystrophy locus, gene 59 (also known as *DMWD*), is located immediately upstream of *DMPK* (118, 239). The murine homolog, *DMR-N9*, shows high expression in brain, testis, heart, and kidney, but is absent in skeletal muscle (118). Conflicting data also exist regarding the levels of *DMWD* in DM patient material (2, 97). Nevertheless, the tissue-specific expression profile of *DMWD* makes this another candidate whose loss of function could contribute to some of the features in DM, particularly the MR, testicular atrophy, and kidney failure.

Another hypothesis to explain the variety of clinical features seen in DM proposes a gain-of-function model (276). It is based on a *trans*-dominant effect of the CTG-expanded transcript that interferes with the normal processing and/or metabolism of numerous RNAs (151, 188, 259, 276). Altered metabolism of tissue-specific RNAs could account for the various symptoms seen in DM patients. Timchenko and coworkers proposed that the expanded CUG repeat

sequesters RNA-binding proteins, thereby interfering with their normal role in regulating RNA processing in multiple tissues (218, 263, 264). This hypothesis is supported by the identification of a CUG-binding protein (CUG-BP or hNab50) that could mediate this *trans*-dominant effect (211). It now seems likely that a family of proteins that bind CUG repeats, as well as their CUGBP1-dependent RNAs, might be affected by the DM expansion (3, 16, 164, 211, 263).

Spinocerebellar Ataxia Type 8 (SCA8): Altered RNA Regulation?

Clinicopathology SCA8 is a member of the dominantly inherited SCAs. These are a heterogeneous group of neurologic disorders characterized by variable degrees of degeneration of the cerebellum, spinal tracts, and brain stem (91, 141). Many clinical features such as gait ataxia and dysarthria are common to all of the SCAs, making it difficult to differentiate among them simply by clinical or neuroimaging studies. A limited number of variable features, seen either in some subtypes or in some families with a specific subtype, help distinguish certain ataxias. SCA8 is a slowly progressive ataxia with cerebellar atrophy, decreased vibration sense, and sometimes brisk reflexes. The age of onset is typically in the third to fourth decade and patients have a normal life span.

Mutation When the mutation in SCA8 was shown to be an expansion of a non-coding CTG expansion, as is the case for DM, it not only distinguished SCA8 from the other SCAs, but it introduced a possible novel pathogenic mechanism in a triplet repeat disease (146). Using a technique termed “repeat expansion detection” (RED) to identify expanded CAG repeats (232), Koob et al (146) found a CAG/CTG repeat cosegregating with disease in a family with an unassigned dominant ataxia. It is interesting that no CAG-containing RNA transcripts were identified nor were any significant open reading frames found to extend through the repeat expansion, confirming that SCA8 is not a polyglutamine disorder. Moreover, reverse transcriptase-polymerase chain reaction analysis showed that the SCA8 repeat is transcribed in the CTG orientation and is present in the 3' terminal exon of a processed transcript (104 base pairs from the polyA signal sequence). A second mRNA was isolated that was transcribed in an orientation opposite to that of the SCA8 transcript. This “sense” transcript encodes for a protein that shares strong homology to the *Drosophila* *KELCH* gene [named Kelch-like 1 or *KLHL1* (145)]. The region of overlap is limited to the 5' terminal of the SCA8 transcript; therefore, the CTG repeat is present in the antisense but not the sense *KLHL1* transcript. These data suggest that the SCA8 transcript may be an endogenous antisense RNA that may regulate the expression of *KLHL1*. The normal function of *KLHL1* in the brain is not fully known. The protein has POZ/BTB protein-protein domains, a conserved Kelch actin-binding domain, and similarities to a brain-specific gene, *NRP/B*, believed to be involved in neuronal process formation (133).

Pathophysiology The role of the CTG repeat in SCA8 pathology is not yet well understood. It is possible that SCA8 and DM share a similar pathogenic mechanism. The clinical differences between these diseases, however, may be caused by the different expression profiles of the SCA8 and DM transcripts and/or different functions of the proteins encoded by the transcripts that are ultimately affected by the expanded CUG-containing RNA. The limited expression of SCA8 (it is found primarily in brain tissue) correlates well with the phenotype (120). A characteristic not seen in DM or any other triplet diseases is that SCA8 may have a CTG repeat interval that is pathogenic (~110–250 repeats), with shorter and larger repeats not resulting in disease (214). Whereas it is intuitive that repeats of <100 are not associated with disease, it is intriguing that patients with 800 CTG repeats have no history of ataxia (214). Although this phenomenon is not yet fully understood, it is possible that these very large repeats interfere with SCA8 expression or confer altered RNA processing and/or stability, so that the toxic gain of function does not eventuate.

Spinocerebellar Ataxia Type 12 (SCA12): Altered Phosphatase Regulation?

SCA12 is the most recent addition to the trinucleotide repeat disease family. A rare disease with only one large pedigree described to date, SCA12 is caused by a non-coding CAG trinucleotide repeat expansion in the 5' UTR of the *PPP2R2B* gene (or *PP2A-PR55 β*) (106). *PPP2R2B* encodes a brain-specific regulatory subunit of protein phosphatase 2A. Protein phosphatase 2A has many important and diverse cellular functions; however the precise role of PR55 β in one or more of these functions has yet to be determined (183). Although the repeat is flanked by transcriptional start sites and conserved promoter elements, it is not yet known whether the expanded CAG tract is associated with transcriptional interference of *PPP2R2B*, an issue complicated by the absence of patient tissue that is known to express this gene. Further molecular characterization and expression analysis of *PPP2R2B* will provide essential clues toward understanding the pathophysiology of SCA12.

DISEASES INVOLVING CODING REPEATS: POLYGLUTAMINE DISEASES

The second group of triplet repeat disorders are all caused by exonic CAG repeat expansions that are translated into a long polyglutamine tract. Although these proteins do not share any homology aside from the polyglutamine tract, several salient features are shared by the polyglutamine disorders. First, these diseases are all characterized by progressive neurodegeneration, typically striking in mid-life and causing increasing neuronal dysfunction and eventual neuronal loss 10–20 years after onset of symptoms. Second, the polyglutamine repeats confer a gain of function when they are pathologically expanded, suggesting a common

mechanism of pathogenesis. Disease develops when the number of uninterrupted repeats exceeds ~35 glutamines, except for SCA6, which is associated with expansions above 21 repeats. Third, intergenerational instability is more pronounced in paternal transmissions for all of these disorders. Fourth, despite the widespread expression of all eight genes throughout the brain and other tissues, only a certain subset of neurons is vulnerable to dysfunction in each of these diseases. The variability in cell-specific degeneration despite overlapping expression patterns is lost, however, when the expansions are very large, leading to severe juvenile-onset disease. In these cases, there is significant overlap in the phenotypes. This loss of cell specificity with large expansions is interesting, and it hints that toxicity is probably much more widespread through neuronal and non-neuronal cells that are normally spared when the repeat sizes are moderately expanded. It may also reinforce the idea that repeat expansions are smaller in the coding repeat disorders because of selective pressure against the very large expansions, which are likely to be embryonically lethal.

One other shared pathological hallmark of the polyglutamine disorders is the presence of protein aggregates or nuclear inclusions (NIs). Aggregates of disease-causing protein, primarily found in the nucleus, have now been reported for all eight diseases; in SCA6 the aggregates are exclusively found in the cytoplasm. The predominant nuclear localization of the inclusions is intriguing given the various subcellular localizations of the soluble forms of these proteins. The inclusions are often, but not always, found in the tissues that are most susceptible to degeneration despite the ubiquitous expression of the expanded proteins. The factors contributing to protein aggregation and the role that these aggregates may play in disease are discussed in greater detail below.

Spinobulbar Muscular Atrophy (SBMA or Kennedy Disease)

Clinical Characterization SBMA, the only triplet repeat disease showing an X-linked recessive pattern of inheritance, is characterized pathologically by selective degeneration of the anterior horn, bulbar region, and dorsal root ganglion (250). Male patients often present with muscle cramping that leads to proximal muscle weakness and atrophy. The eponymous bulbar degeneration leads to difficulties in speech, articulation, and swallowing. Patients may also show fasciculations of the tongue, lips, or perioral region of the face. No signs of upper-motor-neuron disease, such as hyperreflexia or spasticity, are evident. Signs of mild androgen insensitivity are typically seen at adolescence, including gynecomastia and testicular atrophy (6).

Mutation and Gene Product The expanded polymorphic CAG repeat tract in SBMA patients is located in the first coding exon of the androgen receptor (AR) gene. The AR is a steroid hormone-activated transcription factor composed of hormone and DNA-binding domains. Upon binding of ligand in the cytoplasm, the receptor dissociates from a number of accessory proteins (chaperones or heat

shock proteins), translocates into the nucleus, dimerizes, and then transactivates androgen-responsive genes (295). The function of the polyglutamine tract in AR is unknown. Although it is located in one of the transactivation domains, an AR lacking the polyglutamine tract is still able to transactivate hormone-responsive genes (121). Expansion of the glutamine repeat has no effect on hormone binding and only slightly reduces its ability to transactivate (41, 182, 191). The expansion may cause partial loss of receptor function that could be responsible for some symptoms of androgen insensitivity in affected males; however, complete absence of AR leads to testicular feminization rather than a motor neuron disease (168, 297). Because SBMA occurs only in males, heterozygous SBMA females may be protected by X-inactivation patterns or by low androgen levels, assuming that the toxic effect is ligand dependent.

Nuclear inclusions containing mutant AR have been reported in motor neurons within the pons, medulla, and spinal cord (157, 158). Although the NIs were absent in unaffected neural tissues, they were present in a select group of unaffected non-neuronal tissues including scrotal skin, dermis, kidney, and, less frequently, heart and testis. They were not detected in spleen, liver, and muscle. It is difficult at this time to reconcile the selective presence of NIs among the various non-neuronal tissues. It is interesting that the postmortem tissue used in this study was from patients with typical adult-onset SBMA (death was between 54 and 82 years), which undermines the contention that loss of tissue specificity, at least in regard to NI formation, occurs only in juvenile-onset cases.

Huntington Disease (HD)

Clinicopathology Slight and sporadic motor disability, involving both involuntary and voluntary movements, becomes progressively exaggerated until full-blown chorea develops within 10–20 years. The motor disorder is often preceded or accompanied by memory deficits, cognitive decline, affective disturbance, and/or changes in personality; other forms of motor dysfunction such as rigidity and dystonia may also be present. Juvenile onset patients show rigidity, bradykinesia, epilepsy, severe dementia, and an accelerated disease course.

Atrophy of the caudate and putamen is visible in brain-imaging scans, and diffuse degeneration of the neostriatum is the pathologic hallmark of HD (275). Medium-sized spiny striatal neurons containing γ -aminobutyric acid are the most severely affected, whereas other somatostatin-containing neurons are particularly spared. Juvenile-onset cases often show loss of cerebellar Purkinje cells along with more pronounced atrophy of the brain (274).

Mutation and Gene Product The CAG repeat in the first exon of the *HD* gene is highly polymorphic and varies from 6 to 35 repeats on unaffected chromosomes and from 36 to 121 repeats in the disease state (223). Adult onset typically occurs when the repeat contains 40–50 units, whereas alleles containing >70 repeats result in the more severe juvenile form of the disease. *HD* homozygote patients have

phenotypes similar in severity to their heterozygote siblings, suggesting that HD is a true dominant disorder (285). Genetic evidence in both humans and mice confirms that loss of huntingtin function does not result in HD (4, 69, 192, 292). HD knockout mice are developmentally retarded and die in gestation between days 8.5 and 10.5, suggesting that huntingtin has, as of yet, an unknown function that is crucial for embryonic development and neurogenesis. Notably, the embryonic function of huntingtin is not impaired when the wild-type *Hdh* alleles are replaced with *Hdh* alleles containing an expanded polyglutamine tract (50 Gln) (286).

The precise function of huntingtin remains elusive, and it has few similarities with known proteins. A number of HD homologs have been isolated, including mouse, rat, pufferfish, zebrafish, and fruit fly. The murine homolog, *Hdh*, shares 90% homology with human *HD* (161), and the putative *Drosophila* ortholog has an overall identity of 24% and similarity of 49% (160). Despite this overall high level of conservation, the mouse protein has only seven consecutive glutamines, and the fly protein lacks both the glutamine stretch and a polyproline stretch that is present in its mammalian counterparts.

HD is expressed throughout the brain, primarily as a cytoplasmic protein (64, 208, 266). It is relatively abundant in large striatal interneurons, medium spiny neurons, cortical pyramidal cells, and cerebellar Purkinje cells (274). Subcellular-localization studies reveal huntingtin staining in somatodendritic regions and in axons. It is associated with microtubules in dendrites and with synaptic vesicles in axon terminals, perhaps serving some function in synaptic transmission. In cell lines, huntingtin has been reported to be located in both the cytoplasm and nucleus, suggesting a potential nuclear function (61).

Abnormal nuclear and neuropil aggregation of mutant huntingtin has been reported in postmortem HD brain (13, 65, 94, 219). Neuropil aggregates, occurring in dendrites and dendritic spines, are similar in structure but are far more common in HD brain than neuronal NIs (94). Moreover, the progressive appearance of the neuropil aggregates is correlated with neurological symptoms in patients and *HD* mice, suggesting that neuropil aggregates may play a role in HD pathogenesis by affecting nerve terminal function (94, 156). In contrast, the NIs form primarily in the cortex but are rarely detected in the area most affected in HD, the striatum (94, 152). All huntingtin aggregates are recognized only with antibodies that are specific for the N terminus of huntingtin (65, 94). Antibodies to internal regions (e.g. amino acids 549–679) or the C terminus do not recognize the aggregates (65, 93, 238, 268). These data support an emerging theme that proteolytic cleavage and release of a toxic expanded polyglutamine protein may be an important step in pathogenesis.

A number of proteins are known to interact with huntingtin. Huntingtin-associated protein 1 (HAP1) was the first such protein isolated (159); it is interesting that the interaction strengthens with increasing polyglutamine length. Although HAP1 is brain specific, it is not particularly enriched in areas that degenerate in HD. HAP1 associates with proteins in the cytoskeleton, supporting a possible role for huntingtin in cytoplasmic protein trafficking (46, 74). Burke et al found

an interaction between huntingtin and the enzyme glyceraldehyde-3-phosphate dehydrogenase (26). If relevant to pathogenesis, this interaction supports a hypothesis that pathology in HD involves a disruption of neuronal energy production. Huntingtin also interacts with an E2-25K, a ubiquitin-conjugating enzyme (126). This interaction is not modulated by the polyglutamine length and might indicate a catabolic route for huntingtin. Huntingtin-interacting protein 1 (HIP1) colocalizes with huntingtin, and its interaction is inversely correlated to the polyglutamine chain length (127). The yeast HIP1 homolog, Sla2p, is essential for proper function of the cytoskeleton, adding further support that huntingtin may play a role in cytoskeletal function in the brain. One recent study showed a repeat-dependent interaction of N-terminal huntingtin and the nuclear receptor corepressor (N-CoR) (22). Complexing with other factors, the nuclear receptor corepressor represses transcription from ligand-activated receptors. Subcellular localization studies demonstrated relocation of the nuclear receptor corepressor and other associated corepressors in HD brain, suggesting that altered transcriptional regulation is involved in HD (see “Nuclear Localization and Gene Expression” below). Faber et al demonstrated a repeat-length-dependent interaction with members of the WW domain family of proteins, which seem to play a critical role in a number of cellular processes such as nonreceptor signaling, channel function, protein processing, and pre-mRNA splicing (75). Cystathionine β -synthase and an SH3GL3 protein are two additional huntingtin interactors (23, 247). Although the normal function of these interactions is not yet clear, the former may have a pathological role in initiating excitotoxic cell death whereas the latter may specifically promote the aggregation of the truncated mutant protein. Thus, based on the variety of huntingtin-interacting proteins identified, huntingtin may play a role in a number of nuclear and cellular events.

Spinocerebellar Ataxia Type 1 (SCA1)

Clinicopathology The primary clinical features in SCA1 include progressive cerebellar ataxia, dysarthria, and eventual bulbar dysfunction; patients may also present with pyramidal signs and peripheral neuropathy. SCA1 is characterized pathologically by cerebellar atrophy with severe loss of Purkinje cells, dentate nucleus neurons, and neurons in the inferior olive and cranial nerve nuclei III, IV, IX, X, and XII. Neuropathologic studies also reveal gliosis of the cerebellar molecular layer and, within the internal granular layer, eosinophilic spheres or “torpedoes” are present in axons of degenerating Purkinje cells.

Mutation and Gene Product The highly polymorphic CAG repeat in the coding region of the *SCA1* gene results in the synthesis of a polyglutamine track located in the amino-terminal half of its protein product, ataxin-1. Normal alleles contain 6–44 repeats. The larger “normal” alleles (>20) are stabilized by interruptions of 1–4 CAT repeat units encoding histidine (42). Disease alleles contain a pure stretch

of uninterrupted CAG trinucleotides that range from 39 to 82 repeats (223). Large deletions in 6p22-23 spanning the *SCA1* gene do not result in ataxia, confirming that haploinsufficiency of ataxin-1 does not give rise to SCA1 (56).

Ataxin-1 is a novel protein that has a nuclear localization signal (136). The murine homolog shares high identity (89%) with human ataxin-1, but it contains only two glutamines instead of a long glutamine track (10). The wild-type protein has a predicted molecular mass of 87 kDa and is expressed in the central nervous system at two- to fourfold the levels found in peripheral tissues (148, 237). In peripheral cells, that is, heart, skeletal muscle, lymphoblasts, and liver, the protein is localized to the cytoplasm. In neurons, however, it is predominantly nuclear, with limited cytoplasmic staining in Purkinje cells and brain stem nuclei (52, 148, 237, 248). In SCA1 patients, mutant ataxin-1 localizes to a single ubiquitin-positive nuclear inclusion in brain stem neurons (248). In the cerebellum, which is the primary region affected by disease, NIs are not detected; this may be due to severe pathology in the postmortem brain, leaving few Purkinje cells behind to be visualized. It may also hint that NIs are present in the neurons, as was seen in HD, that are more likely to resist neurodegeneration.

Scal-null mice display impaired spatial and motor learning and decreased paired-pulse facilitation in the CA1 area of the hippocampus (176). In the central nervous system, ataxin-1 may therefore have a role in synaptic plasticity and neuronal functions underlying learning. Moreover, the fact that mice that are homozygous for the null mutation do not develop ataxia confirms that SCA1 is not caused by loss of normal ataxin-1 function.

Further studies to investigate ataxin-1's normal and pathological functions led to the discovery of an ataxin-1-interacting protein called leucine-rich acidic nuclear protein (LANP) (175). The LANP-ataxin-1 interaction is strengthened in a glutamine repeat length-dependent fashion. Although the function of LANP is unknown, it belongs to the family of leucine-rich repeat proteins that mediate protein-protein interactions that are pivotal to processes as varied as morphogenesis, cell adhesion, and signaling. *LANP* is abundantly expressed in Purkinje cells, the primary site of SCA1 pathogenesis. The murine homolog, *Lanp*, is highly expressed in Purkinje cells at postnatal day 14, parallel to a transient burst of *Scal* expression in the mouse (10, 178). Furthermore, in transfected cells, subcellular distribution of LANP is altered by mutant ataxin-1. Therefore, the tissue-specific degeneration seen in SCA1 may result from mutant ataxin-1 binding, sequestering, and interfering with the normal activity of LANP and/or other nuclear factors.

Spinocerebellar Ataxia Type 2 (SCA2)

Clinicopathology Extremely slow saccadic eye movements, hypo- or areflexia, and, in some patients, ophthalmoparesis are features that can help distinguish SCA2 from the other SCAs. The cerebellum and brain stem show degeneration

with loss of Purkinje and granule cells. Atrophy of the frontotemporal lobes, degeneration of the substantia nigra, and gliosis in the inferior olive and pons have all been reported (67, 201).

Mutation and Gene Product The CAG repeat located in the N-terminal coding region of *SCA2* is less polymorphic than the repeats in all of the 13 other diseases described; 95% of alleles contain 22 or 23 repeats (115, 213, 217, 227). Normal alleles may contain 15–31 repeats and are normally interrupted by two CAA sequences. Disease alleles contain a perfectly uninterrupted CAG repeat tract that ranges between 36 and 63 units.

The *SCA2* gene product, ataxin-2, is a novel cytoplasmic protein with a predicted molecular mass of 140 kDa. Although its function is presently unknown, ataxin-2 contains Sm1 and Sm2 motifs previously found in proteins that are involved in RNA splicing and protein-protein interaction (194). Human ataxin-2 does share sequence homology with the mouse *Sca2* gene product; however, the mouse has only one CAG unit (193). *SCA2* is widely expressed throughout the body and brain, with high levels in cerebellar Purkinje cells (110, 115, 213, 227). Ataxin-2 immunoreactivity is more intense in *SCA2* brains than in normal brains, suggesting altered catabolism of the mutant protein (110). Recently, mutant ataxin-2 was shown to aggregate in ubiquitin-positive NIs, but only 1%–2% of the surviving neurons were NI positive (150). As with *SCA1*, the cerebellum was completely devoid of NIs despite extensive neuronal degeneration (110, 150).

Machado-Joseph Disease: Spinocerebellar Ataxia Type 3 (SCA3)

Clinicopathology Bulging eyes, facial and lingual fasciculation, and rigidity together with progressive ataxia suggest *SCA3*/Machado-Joseph Disease (MJD), but clinical diagnosis is hampered by variability in clinical and pathological presentation. Degeneration is most prominent in the basal ganglia, brain stem, spinal cord, and dentate neurons of the cerebellum. Purkinje cell loss is mild, and the inferior olives are typically spared (68, 203, 257, 290).

Mutation and Gene Product The polyglutamine repeat in the *SCA3/MJD1* gene product is near the C terminus. Normal individuals have between 12 and 40 glutamines, and in disease the repeat expands to a length of 55–84 glutamines. The *MJD1* gene encodes a ubiquitously expressed protein that has no known function or homology with other proteins. With a predicted molecular mass of 42 kDa, it represents the smallest protein in the polyglutamine disorders (129). The *MJD1* gene product ataxin-3 is predominantly a cytoplasmic protein, but is also known to aggregate in the nucleus of affected neurons in *SCA3* patients (204, 205). Ataxin-3 is predicted to contain a nuclear localization signal (NLS) and it was reported to associate with the nuclear matrix (255). The nuclear matrix-bound ataxin-3 is

proposed to adopt an altered conformation, an event that may be significant in disease initiation (207; see below).

Spinocerebellar Ataxia Type 6

Clinicopathology Clinical features in SCA6 consist predominantly of cerebellar dysfunction (85, 123, 235, 296). The disease is characterized by very slow progressive or episodic ataxia. Neuropathologic findings in SCA6 include marked cerebellar atrophy with loss of cerebellar Purkinje cells and only moderate loss of cerebellar granule cells, dentate nucleus neurons, and neurons of the inferior olive (114, 296).

Mutation and Gene Product The CAG repeat in SCA6 is relatively stable and exceptionally small, ranging from 21 to 33 repeats in the disease state and <18 repeats on normal chromosomes (189, 235, 296). This may explain why intergenerational instability is rare in SCA6 (177). The *SCA6* gene codes for the P/Q-type calcium channel α_{1A} -subunit (*CACNA1A*). Voltage-sensitive calcium channels are multimeric complexes made of the pore-forming α_{1A} subunit and several regulatory subunits (34). These channels mediate the entry of calcium into excitable cells and thereby play roles in a variety of neuronal functions that include neurotransmitter release and gene expression. The α_{1A} subunit gene is abundantly expressed in the central nervous system, with highest levels in the cerebellar Purkinje cells (76, 116, 163, 293). Recently, Ishikawa and colleagues (116) reported that mutant α_{1A} calcium channels aggregate in the cytoplasm of SCA6 Purkinje cells. These inclusions were found in the peripheral perikaryal cytoplasm and in the proximal dendrites but were never localized to the nucleus. The inclusions were ubiquitin negative but could be identified with both amino- and carboxy-terminal antibodies, suggesting that the full-length protein is within the aggregates.

It is presently unknown how such small CAG expansions result in neurodegeneration. A number of hypotheses can be proposed: (a) loss of function caused by haploinsufficiency of the polyglutamine-expanded gene product, (b) action of the mutant protein in a dominant negative manner, (c) gain of function of the mutant protein by a scenario similar to other polyglutamine disorders. It is not unreasonable to hypothesize that even a small glutamine expansion will obstruct or alter the channel's normal function. In fact, quantitative studies in tissue culture cells demonstrated that channels containing pathologically expanded repeats do have altered properties (179). It is interesting that different mutations in this gene produce variable phenotypic effects. Missense and splicing mutations in the α_{1A} voltage-dependent calcium channel have been identified in other neurological disorders, including hereditary paroxysmal cerebellar ataxia (or episodic ataxia type 2) and familial hemiplegic migraine (199). Additionally, the tottering and tottering-leaner mice bear nonexpansion mutations in this subunit (76, 199). The generation and

analysis of transgenic mice that express an allele with pathologically expanded repeats will undoubtedly help clarify the mode of pathogenesis in SCA6.

Spinocerebellar Ataxia Type 7

Clinicopathology SCA7 patients initially present with cerebellar ataxia and/or visual problems, including pigmentary macular degeneration. The minority of patients who first show only visual deficits will likely develop ataxia within a few years. Onset in early childhood or infancy has an especially rapid and severe clinical course, whereas the infantile phenotype is unique in affecting neuronal and non-neuronal tissue (heart) (15, 55, 124). Primary neuronal loss is in the cerebellum, inferior olive, and some cranial nerve nuclei. Hypomyelination of the optic tract and gliosis of the lateral geniculate body and visual cortex are also characteristics of SCA7 (55, 172).

Mutation and Gene Product The polyglutamine tract is near the amino terminus of the SCA7 gene product, ataxin-7. All normal alleles contain pure CAG tracts without any evidence of interruption (typically 4–35 repeats), whereas adult-onset disease occurs when the repeats exceed 37 (15, 54, 55, 62, 89, 124, 189). The SCA7 mutation is the most unstable in the polyglutamine disease family (54, 252). Moreover, SCA7 manifests the most extreme intergenerational CAG repeat instability, with an enlargement of 263 repeats reported in one father-to-son transmission (15). As with most other triplet repeat diseases, the repeat expansion in SCA7 is markedly associated with paternal transmission. Disease, however, is more frequently observed upon maternal transmission, suggesting that paternally transmitted alleles may be embryonically lethal (15, 89, 185).

The function of ataxin-7 is unknown, and it shares no significant homology with other proteins. It does contain a functional NLS consensus sequence and nuclear and nucleolar localization, and it can bind to the nuclear matrix (54, 130). Cytoplasmic staining in neurons of several brain regions has also been reported (105). SCA7 shows ubiquitous expression, with the highest levels in the heart, placenta, skeletal muscle, and pancreas. Within the central nervous system, the SCA7 transcript is most abundant in the cerebellum (54). In SCA7 patients, ataxin-7–positive nuclear aggregates were identified in affected brain regions (brainstem, especially pons and inferior olive), and, in one juvenile-onset patient, they were also found in brain regions not associated with disease (cerebral cortex) (105). The degree of ubiquitin reactivity in the NIs varied spatially and may be correlated with the sites of neuronal degeneration (<1% ubiquitin positive in cortex vs 60% in inferior olive).

Dentatorubropallidoluysian Atrophy (DRPLA)

Clinicopathology Distinguishing clinical characteristics of DRPLA include choreoathetosis, myoclonic epilepsy, dementia, and progressive intellectual

deterioration in juvenile-onset cases (113, 280). The neuropathology of DRPLA is quite extensive, with marked neuronal loss in the cerebral cortex, cerebellar cortex, globus pallidus, striatum, and the dentate, subthalamic, and red nuclei. There is intense gliosis and severe demyelination at many of the sites of neuronal degeneration, and in some families calcification of the basal ganglia is also observed (27, 249, 256).

Mutation and Gene Product The glutamine repeat in DRPLA is found near the C terminus of the *DRPLA* gene product, atrophin-1. The normal repeat range is 6–35 copies; expanded alleles have 49–88 repeats (143, 223). Atrophin-1 is widely expressed and is primarily localized to the cytoplasm in neurons and peripheral cells (140, 291). Atrophin-1 does contain a putative NLS, and nuclear localization has been reported (111, 184, 197).

The function of atrophin-1 is not yet known. Similar to huntingtin, ataxin-1, and AR, atrophin-1 was found to associate with glyceraldehyde-3-phosphate dehydrogenase (26, 147). As discussed above, the significance of the interaction is still not clear. Wood et al identified five putative atrophin-1 interacting proteins (289). Similar to huntingtin, all five interactors contain WW domains. Two of these proteins are novel. The three others contain a HECT domain characteristic of E3-ubiquitin ligases and appear to be closely related to family members of the Nedd-4 ubiquitin ligases. No repeat length dependencies were observed for any of these proteins, suggesting that the physiological importance of these interactions may be more salient to the normal function or catabolism of atrophin-1 rather than its direct role in pathogenesis. Ohamura-Oho and colleagues (197) showed an interaction between atrophin-1 and an insulin receptor tyrosine-kinase substrate, suggesting that atrophin-1 might function in the insulin/insulin growth factor signal transduction pathways. It is interesting that the binding appears to be negatively affected by glutamine expansion (197).

PATHOGENETIC MECHANISMS IN POLYGLUTAMINE DISORDERS

In all of the polyglutamine disorders, disease is more severe with longer repeats, and the mutant proteins are only damaging to a certain population of neurons. A model of pathogenesis posits that the expanded glutamine repeat mediates some undefined toxic gain of function that results in neuronal dysfunction and death. To bring form to this model, a number of points and paradoxes are discussed: (a) Is the expansion of the glutamine tract necessary to cause disease? (b) What is the role of the glutamine tract in disease? and (c) Is pathogenesis solely dependent on the expanded polyglutamine tract or are other sequences in the protein necessary for neurodegeneration?

Importance of the Glutamine Tract in Disease

Studies of mouse models have been the most compelling to show the importance of polyglutamine expansion in causing disease. The first transgenic mice generated to model a polyglutamine disorder were those that overexpressed the human *SCA1* cDNA encoding full-length ataxin-1 (28). Using the regulatory elements of the Purkinje cell-specific promoter from the *Pcp2* gene, lines were made to express high levels of either wild-type ataxin-1 with 30 repeats or mutant ataxin-1 with 82 repeats (82Q). The 82Q-transgenic mice developed severe ataxia and progressive Purkinje cell pathology, whereas mice expressing ataxin-1 with 30 repeats displayed no neurologic abnormalities and were indistinguishable from nontransgenic littermates (43). Mice from one of the 82Q expressing lines (termed B05) have been extensively characterized. These mice developed mild motor skill impairment that progressed to overt ataxia (43). A number of progressive pathological changes develop in these mice before Purkinje cell death, including the formation of Purkinje cell cytoplasmic vacuoles and nuclear aggregates, reduction in the Purkinje cell dendritic arborization, atrophy of the molecular layer, and heterotopic migration of Purkinje cells. These findings challenged the long-lived assumption that neurological phenotypes in SCA patients result from neuronal death; instead, neurological impairment is likely caused by neuronal dysfunction. These studies also demonstrated that pathological changes are induced by the expression of ataxin-1 with an expanded polyglutamine tract, which was very encouraging because it confirmed that mice could be used to model some of the phenotypes of a slow progressive polyglutamine disorder, despite the confines of a much shorter life span compared with humans. It is noteworthy that the severity and disease progression were dependent on transgene expression levels. This was the first clue that not only glutamine length is significant in disease, but also the amount of toxic protein and the time a neuron is exposed to the mutant protein. The question of whether pathogenesis is solely dependent on the expanded glutamine tract was left unanswered.

Studies in mouse models of SCA3 and HD were the first to address this question (112, 171). Ikeda and colleagues generated transgenic mice expressing full-length and truncated versions of the MJD/SCA3 protein, also using the *Pcp-2* promoter (112). Animals expressing truncated fragments of ataxin-3 with 79 repeats developed overt ataxia. In contrast, none of the mice expressing full-length ataxin-3 with 79 repeats or a truncated ataxin-3 with 35 repeats developed ataxia. These data tell us that the expanded polyglutamine tract alone is sufficient to induce neuronal cell death. Without information about the relative expression levels in the various transgenic lines, it is difficult to make conclusions regarding the toxicity of full-length ataxin-3. Based on the available data, however, the authors proposed that cell-specific proteolytic cleavage of the mutant protein liberates an elongated polyglutamine tract that then induces cell death. Assuming that this protease is lacking in Purkinje cells, a cell type not primarily affected in SCA3, then the toxic

fragment would not be produced, and these neurons would be spared. Consistent with this model is the increasing evidence that generation of truncated proteins containing an expanded polyglutamine tract may be a key step in pathogenesis (72, 73, 88, 226, 283; see below).

In the first mouse model for HD, Mangiarini and colleagues generated transgenic mice expressing a truncated huntingtin fragment with 144 repeats (171). Under the control of the *HD* promoter, these mice ubiquitously expressed a polypeptide that included the first 69 amino acids of huntingtin and an expanded glutamine tract. At lower-than-endogenous levels, these mice showed a progressive neurological phenotype. Mice expressing the same peptide with 18 repeats, however, are indistinguishable from nontransgenic controls. One transgenic line extensively studied, the R6/2 line, appear normal at weaning, but gradually develop an irregular gait, resting tremor, stereotypic grooming activity, sudden shuddering movements, and occasional seizures. The neurological phenotypes are accompanied by a progressive decline in body weight, urinary incontinence, sterility, alterations in brain neurotransmitter receptors, and insulin-responsive diabetes (36, 109, 171). Although the diabetes may account for some of the phenotypic features in these mice, such as weight loss, it is unlikely to be the primary cause of the neurological phenotype. It is interesting that, despite the prominent neurological findings in these mice, there is no indication of neuronal degeneration except for diminished brain weights. The R6/2 mice die between 10 and 13 weeks and conceivably don't live long enough to develop the typical HD pathology. These data have been strengthened by similar findings in mice expressing N-terminal huntingtin with 82Q under the strong and ubiquitous prion promoter (234). Together these studies indicate that a small huntingtin fragment with an expanded glutamine tract is toxic to neurons. Additionally, the toxic peptide alone is sufficient to create progressive neurological phenotypes that are quite similar to those in human HD, even when this peptide is expressed at lower-than-endogenous levels. Are these phenotypes merely the result of generalized polyglutamine toxicity, or is the mechanism of degeneration in these neurons a true model of the events that occur in patients?

These questions were partially answered by Ordway and colleagues, who "knocked-in" 146-CAG repeats into a gene that codes for a protein previously not harboring a polyglutamine tract, the mouse hypoxanthine phosphoribosyl transferase (*Hprt*) (200). Similar to the *HD* transgenics, these mice developed seizures, tremors, and motor dysfunction, and they died prematurely. In addition, graded neuropathological abnormalities were evident. The selective neuronal loss that is characteristic of HD, however, is not seen in the HPRT mouse. Thus several lines of compelling evidence confirm that the expanded glutamine tract is toxic. Moreover, the polyglutamine tract is toxic irrespective of the protein context. What is additionally clear from these studies is that the selective pattern of neuropathology in these diseases must be mediated by the amino acid sequence surrounding the polyglutamine tract.

Importance of Protein Context

The importance of protein context is emphasized by several other studies of mice that were engineered to express either full-length *HD* or *DRPLA* with an expanded number of CAG repeats. In the first study, Reddy and colleagues (215) reported that transgenic mice with an expanded CAG tract under the control of the cytomegalovirus promoter developed progressive behavioral abnormalities, urinary incontinence, and decreased locomotor activity. The hypokinesia was accompanied by striatal neuronal loss and gliosis in a pattern similar to that seen in HD patients. Neuronal loss also occurred in the hippocampus, thalamus, and cerebral cortex. Despite widespread expression of the transgene, neuronal degeneration was identified only in areas typically affected in HD. In the second study, Hodgson et al developed a YAC-transgenic mouse model expressing full-length HD with 72 CAG repeats under the control of the endogenous huntingtin promoter (103). These mice became hyperactive and developed progressive electrophysiological dysfunction and degeneration of the medium spiny neurons in the lateral striatum, reminiscent of that seen in HD patients. Two other studies of knock-in HD mice, a model that most closely resembles the mutation in humans, demonstrated that mice with an expansion of 72–80 CAG repeats introduced into the *Hd* locus develop behavioral abnormalities and impaired synaptic plasticity (240, 271). These phenotypic changes are similar to some findings in HD patients; however, they arise without any signs of neurodegeneration or NI formation, further supporting a distinction between neuronal dysfunction and cell death. Finally, Sato and colleagues described an animal model for DRPLA (229, 230). Transgenic mice harboring a single copy of a full-length human *DRPLA* gene with 76 CAG repeats and its own promoter were generated to study the molecular mechanisms of CAG repeat instability. Although these mice showed no obvious phenotypes, the authors identified a mosaic mouse that carried mutant DRPLA genes with ~129 and 76 CAG repeats. The hemizygous transgenic offspring expressing atrophin-1 (129 repeats) showed progressive myoclonic movements, epilepsy, and decrease in brain weight, a phenotype quite similar to that seen in juvenile human DRPLA patients. That the mice expressing 76 repeats did not manifest symptoms, whereas those harboring the longer repeat did, stresses the relationship between glutamine repeat length and progression of disease; the life span of the mouse is not sufficient to manifest disease with full-length atrophin-1 (76 repeats) when expressed at endogenous levels. Moreover, these findings, together with data from the full-length *HD* transgenics, demonstrate that selective neuronal loss occurs only when the full-length protein with an expanded glutamine tract is expressed, again suggesting that protein context helps mediate this selective pattern of degeneration.

In summary, expansion of the glutamine repeat is necessary to cause disease, and the expanded glutamine tracts alone are extremely toxic. Transgenic mice expressing full-length huntingtin develop phenotypes that are much slower and milder than those developed by the truncated *HD* transgenic mice, even though the latter have much lower levels of transgene expression. This could be explained

by the smaller size of the peptide fragments, which are more insoluble and prone to aggregate or are more readily transported into the nucleus where they can wreak havoc. The larger proteins may first need to be cleaved before aggregation or nuclear import; this is an event requiring contextual clues surrounding the glutamine repeats as well as interacting proteins. Thus full-length proteins temper the toxicity of the expanded glutamine tract and may also function through protein-protein interactions that could result in a selective pattern of neurodegeneration.

Features of Polyglutamine Tracts That May Render Them Toxic

What are the features inherent in long polyglutamine tracts that might render them and/or the proteins harboring them toxic to neurons? Green proposed that the extended polyglutamine tract is a transglutaminase (tTG) substrate that can become cross-linked via ϵ -(γ -glutamine) lysine isopeptide bonds (90). The cross-linked products will contain multimers of the polyglutamine proteins as well as other copolymers, leading to the formation of aggregates. Studies *in vitro* have shown that polyglutamine repeat peptides and the polyglutamine tract within huntingtin are substrates for tTG (48, 125). It has yet to be determined, however, whether transglutamination of polyglutamines occurs *in vivo* or is even significant in the pathology of these diseases. Several reports recently showed that tissue transglutaminase activity is increased in HD brain (128, 155). Moreover, in cell culture models of DRPLA, tTG inhibition decreased atrophin-1 polymerization and apoptotic cell death (111). Perutz et al proposed an alternate mechanism to explain how polyglutamine repeats might contribute to disease based on the ability of polyglutamine repeats to organize into polar zippers and then aggregate. In this model, antiparallel β -strands of polyglutamine repeats can be linked by hydrogen bonds and undergo multimerization (209). In support of this model, synthetic peptides containing glutamine repeats, shorter than the pathogenic threshold, formed polar zippers that aggregate in solution (253). Scherzinger et al demonstrated that an amino-terminal fragment of huntingtin can aggregate *in vitro*, forming amyloidlike fibrils (233). They further demonstrated that there was a threshold for aggregation that correlates well with HD. However, the addition of glutathione S-transferase protein to the amino-terminal huntingtin fragment (>36 repeats) prevented aggregation, but only as long as the fusion protein was not proteolytically cleaved from the huntingtin polypeptide. These data, together with the finding that full-length huntingtin with an expanded glutamine tract does not aggregate spontaneously *in vitro*, suggest that, if aggregation of the polyglutamine tract is to occur, it has to first be cleaved from huntingtin. (See “Cleavage, Caspase Activity, and Apoptosis” below.)

Protein Aggregation and Nuclear Inclusions

Does the glutamine expansion in the disease-causing proteins destabilize their native conformations, resulting in altered protein folding and aggregation? The

first evidence for this came from the identification of antibodies that preferentially recognize the expanded but not the wild-type protein (268). This was followed by a series of studies of animal models, cell-culture models, and patient tissues that demonstrated that mutant polyglutamine proteins aggregate in NIs and cytoplasmic inclusions. These aggregates were originally identified in the nucleus of neurons from a mouse model of HD and subsequently in HD brains (57, 65, 233). Soon after, the aggregates were identified in the nucleus of affected neurons in SCA3 and SCA1 patient brains as well as *SCA1* transgenic mice (205, 248). Subsequently, they have been identified in postmortem tissue from SBMA (157), SCA2 (150), SCA6 (116), SCA7 (105), and DRPLA (13). All of the inclusions (with the exception of the aggregates in SCA6) are localized to the nucleus and stain positively for ubiquitin, indicating that the proteins are misfolded and targeted for hydrolysis. In addition, protein aggregates have been reported in the neuropil of HD brains and transgenic mice (94, 156). The nuclear and cytoplasmic aggregates have been proposed to cause aberrant protein-protein interactions that affect either nuclear architecture or the normal subcellular distribution and/or function of interacting proteins.

The discovery of the NIs was intriguing because it suggested a common pathogenic mechanism for all polyglutamine disorders. The initial evidence that NIs are present only in vulnerable neurons, which once offered a clue to selective vulnerability, is however not holding true. As described above, the regional distribution of the NIs in SBMA, HD, SCA2, and SCA7 patients does not always correspond to the selective pathology. Studies in animal models also strongly indicate that NIs are neither necessary nor sufficient for neuronal dysfunction. First, the R6/2 *HD* mice have massive numbers of NIs in neuronal and non-neuronal (e.g. muscle) cells, yet show no signs of neurodegeneration, neuropathy, or myopathy—only decreases in tissue mass (57, 228). In full-length *HD* transgenic mice, NIs were detected in several regions, including those typically unaffected by the disease (e.g. Purkinje cells); paradoxically, the frequency of the inclusions was <1% in the striatum, where the neuronal loss was most prominent (103, 215). Most convincingly, Klement and colleagues generated transgenic mice by using ataxin-1 (77 repeats) with amino acids that were deleted from the self-association region found to be essential for ataxin-1 dimerization (136). These mice developed ataxia and Purkinje cell pathology that were similar to the original *SCA1* (82Q) mice, but without apparent nuclear ataxin-1 aggregation. Therefore, we can conclude that visible nuclear aggregates are not necessary for the initiation of polyglutamine diseases in vivo.

Nuclear Localization and Gene Expression

Although nuclear aggregation is not necessary to induce neurodegeneration, nuclear localization of the mutant proteins may be a critical event in polyglutamine disease. The importance of nuclear localization of mutant ataxin-1 has been clearly demonstrated in a transgenic mouse model for SCA1. Klement et al generated

transgenic mice that overexpress expanded ataxin-1 (82Q) with a mutated NLS (136). Although these mice had abundant levels of cytoplasmic mutant ataxin-1, they never developed ataxia or pathology. In cell culture models of HD, the addition of a nuclear export signal to mutant huntingtin forces it to localize to the cytoplasm and thereby significantly suppress aggregation and cell death; the opposite effect is seen with the addition of an NLS (210, 231).

Several lines of evidence suggest that the expanded polyglutamine proteins, once inside the nucleus, may have toxic effects on gene expression. For example, overexpression of full-length ataxin-1 caused the protein to localize to the nucleus and form nuclear-matrix-associated aggregates that redistribute the promyelocytic oncogenic domains (thought to be important in transcriptional regulation) (248). Similarly, overexpression of ataxin-7 in transfected cells resulted in nuclear localization, nuclear-matrix association, and colocalization with a component of the promyelocytic oncogenic domain, promyelocytic leukemia protein (130). Full-length AR with 48 repeats accumulates in a hormone-dependent manner in both cytoplasmic and nuclear aggregates, and these aggregates caused redistribution of the AR-associated steroid receptor coactivator (SRC1), suggesting potential pathological effects on SRC1-mediated transcription pathways (251). Perez et al demonstrated that NIs can sequester other polyglutamine-containing proteins, such as the TATA-binding protein (206). This finding is intriguing especially in light of a recent report describing a patient with cerebellar ataxia and mental deterioration who has a *de novo* expansion of the CAG repeat of the *TBP* gene (144). Moreover, a polyglutamine tract-binding domain protein (PQBP-1) was identified in a yeast two-hybrid screen and was shown to interact with the glutamine tract in transcription factors and polyglutamine repeat disease proteins; this interaction is strengthened in a length-dependent manner and may affect cell survival *in vitro* (279). Finally, Lin and colleagues demonstrated that certain neuronal genes involved in signal transduction and calcium homeostasis were specifically down-regulated by the expression of expanded ataxin-1 in SCA1 transgenic mice. It is important that the alterations in gene expression required nuclear localization of mutant ataxin-1 and occurred before any detectable pathology (162). Together these results suggest that regulation of gene expression may be an important factor in the pathogenesis of polyglutamine disease—perhaps the earliest molecular player.

Cleavage, Caspase Activity, and Apoptosis

Nuclear localization and aggregation of many of the disease proteins becomes apparent in cell culture only after the expression of truncated forms of these expanded proteins (29, 95, 111, 112, 166, 173, 180, 205). This suggests that, for some polyglutamine proteins, processing of the full-length protein may be necessary to liberate a peptide, which can then translocate to the nucleus and exert its toxic effect. In support of this suggestion, proteolytic fragments have been detected with full-length expanded huntingtin and AR (88, 166, 180). Additionally, studies show

that huntingtin, AR, atrophin-1, and ataxin-3 are substrates for one or more caspases, although the CAG length does not modulate cleavage of any these proteins (283). Amino-terminal huntingtin fragments containing the expanded polyglutamine tract have also been detected in HD brain nuclear extracts, suggesting that huntingtin processing may occur *in vivo* (65).

How is the cleavage of huntingtin or other polyglutamine proteins involved in pathogenesis? Is the cleavage event necessary to release a toxic, sticky peptide that eventually leads to cell death? Sanchez and colleagues recently suggested a novel mechanism of caspase activation and apoptotic cell death based on the inherent glutinous nature of these proteins (226). These authors proposed that aggregates of polyglutamine repeat proteins can sequester one or more procaspases, which become activated and set off the apoptotic pathway. Partial support for this model comes from the identification of activated caspase-8, found uniquely in the insoluble fraction of affected HD brain extracts but not in controls (226). Additionally, preventing caspase-8-mediated apoptosis inhibited the polyglutamine-induced cell death in primary neurons and cell lines. Along the same lines, Ona et al demonstrated that caspase-1 is activated in HD brains and transgenic mice and that inhibiting its activity reduced endogenous huntingtin cleavage in HD mice (R6/2) (198). This inactivation also slowed the progression of several of the pathological features in this animal model, even though the transgenic construct lacks the known caspase-1 cleavage site. These results raise the possibility that caspase activity has a role in polyglutamine disease progression; however, the underlying mechanism is not clear at this time. Is caspase cleavage of the polyglutamine protein directly involved in disease—or do the polyglutamine polymers act, indirectly, as docking sites for procaspases that in turn trigger apoptosis when sequestered together into aggregates? Does the apoptotic pathway play a role in neuronal dysfunction, or is it the result of a dysfunctional neuron that can no longer cope with increasing amounts of a toxic protein? Future studies will need to reconcile how these intriguing findings relate to the progressive and long-term degeneration seen in patients, which does not always resemble classical apoptosis. Moreover, the formation of large insoluble aggregates does not necessarily correlate with the primary sites of pathology in patients, and, in transfected cells, polyglutamine-induced cell death does not always correlate with aggregate formation (152, 231, 244).

Protein Misfolding and the Ubiquitin Proteasome Pathway

That the NIs are ubiquitin positive raises the possibility that the proteolytic pathway in these neurons might be altered. In addition, if a crucial problem in these diseases is the misfolding and perturbed catabolism of polyglutamine proteins, one might expect to see the affected neurons mounting a chaperone stress response. The first clue that this might be the case came from the observation that components of the 26S proteasome and the molecular chaperones HDJ-2/HSDJ and Hsp70 were redistributed to the sites of ataxin-1 aggregation in SCA1 patient tissue and transgenic mice (52). Similarly, the proteasome and molecular

chaperones colocalized with nuclear aggregates that formed in ataxin-1–transfected tissue culture cells. A marked induction of Hsp70 was seen in the cells harboring the larger ataxin-1 aggregates, suggesting that these cells were mounting a chaperone stress response to the presence of this toxic protein. When overexpressed, the HDJ-2/HSDJ chaperone caused a decrease in both the size and frequency of the ataxin-1 nuclear aggregates. Similar results in cell culture have now been reported with mutant AR and ataxin-3 (37, 251). Chai and colleagues extended the observations by demonstrating a concomitant decrease in toxicity with reduced aggregation (37). Proteasome components and molecular chaperones have now also been identified in the NIs found in SCA3 brains and in *HD* (R6/2) and *SBMA* mice (37, 38, 58, 181). Because molecular chaperones have clearly been implicated in protein folding, ubiquitin-dependent degradation, and suppression of protein aggregation (101, 102), we might infer that the cellular levels of a chaperone could directly mediate the aggregation and/or turnover of a mutant polyglutamine protein.

The presence of ubiquitinated aggregates that contain chaperones and the proteasome supports the view that the glutamine expansion causes the mutant proteins to misfold and subsequently be targeted for degradation by the ubiquitin-proteasome pathway. Expanded polyglutamine proteins may adopt energetically stable structures (as described above), which resist unfolding and thus impede proteasomal degradation. Misfolded and potentially with extended half-lives, these proteins may interact with other proteins in an aberrant fashion and thereby trigger tissue-specific degeneration. If the capacity of the intracellular system handling these proteins is exceeded, then the NIs may develop and cause redistribution of the proteasome to the NIs; proteasome redistribution may contribute to disease progression by disturbing proteolysis and subsequent vital cellular functions. This model is supported by a number of *in vitro* and *in vivo* studies. First, polyglutamine-expanded ataxin-1 is considerably more resistant to ubiquitin-mediated degradation *in vitro* (53). Second, proteasome inhibitors promote aggregation of mutant ataxin-1 and ataxin-3 in cell culture, suggesting proper proteasomal function is important for handling expanded polyglutamine proteins (38, 53). Third, the ubiquitination of mutant huntingtin may play a role in pathogenesis because the expression of a dominant negative ubiquitin-conjugating enzyme in cell culture inhibited the formation of NIs while accelerating huntingtin-induced cell death (231). Finally, to examine the role of the ubiquitin-proteasome pathway *in vivo*, Cummings et al (53) crossed the *SCA1* transgenic mice with mice that had a targeted deletion of the gene coding for an E3-ubiquitin-protein ligase, *Ube3A* (122). As was seen in tissue culture with the dominant negative ubiquitin-conjugating enzyme, Purkinje cells from the *SCA1/Ube3a* mice had significantly fewer NIs. Nonetheless, the polyglutamine-induced pathology is markedly worse and more rapid in progression in the *SCA1/Ube3a* mice compared with the *SCA1* control littermates (53). One interpretation for these data is that mutant polyglutamine proteins are more toxic if not properly ubiquitinated, turned-over, or possibly sequestered to an NI. These results demonstrate that

impaired proteasomal degradation of mutant polyglutamine proteins may contribute to pathogenesis, but more important, the NIs are not necessary to induce neurodegeneration.

Potential Avenues for Therapy

In light of a possible role for apoptosis in polyglutamine diseases, one avenue of therapy could be the inhibition of caspases. If apoptosis is a downstream event, however, then this would not be an effective treatment, because it would merely prolong the lives of dysfunctional neurons. In addition, given the pleiotropic effects of many caspase inhibitors, it may be necessary to specifically deliver these agents only to those specific cells that are vulnerable to disease; at present this is a formidable task.

In affected neurons, molecular chaperones may be targeted to the NI in an ultimately unsuccessful attempt to maintain the proteins in a conformation that promotes either their refolding or their modification by the ubiquitinating enzymes and subsequent hydrolysis by the 26S proteasome. By overexpressing the chaperones in cultured cells, however, it is possible to augment a cellular response to the presence of misfolded polyglutamine repeat proteins, thereby curbing aggregate formation, accumulation, and toxicity. The present challenge is to confirm that these modifications seen in cell culture will occur, without any adverse effects, in an animal model.

Very encouraging are the recent studies in *Drosophila* animal models for polyglutamine disease. Two *Drosophila* models expressing peptides with expanded polyglutamine tracts have been reported. Warrick and colleagues expressed a C-terminal fragment of ataxin-3 with various repeats in the eye, resulting in high expression of truncated ataxin-3 in the photoreceptor cells (282). Flies expressing mutant ataxin-3 (78 repeats) showed retinal degeneration and developed NIs. Jackson et al expressed an N-terminal fragment of *HD* also with various size repeats in *Drosophila*, by directing fusion of any eye-specific promoter to the huntingtin peptide (117). Photoreceptor neurons expressing these expanded peptides also degenerated and showed numerous nuclear aggregates. In both studies, the age of onset and severity of the phenotype correlated with glutamine repeat length. Both groups also evaluated the effect of the viral antiapoptotic protein P35 on photoreceptor degeneration. With overexpression of P35, some of the mild phenotypes in MJD-Q78 flies were partially rescued, but no protective effect on neuronal degeneration was detected in either model. These models are also invaluable tools to search for genetic modifiers of the polyglutamine-induced degenerative phenotype. Warrick and colleagues recently showed that a dominant negative form of a fly Hsp70 enhances degeneration in the MJD (78-repeat) flies (281). More exciting was the observation that overexpression of Hsp70 suppresses polyglutamine-induced neurodegeneration. It is interesting that this suppression occurred without any effect on NI formation, arguing further against a correlation between polyglutamine-induced toxicity and NI formation.

Notwithstanding the evidence that indicates that polyglutamine-induced toxicity is dissociated from the formation of NIs, it is striking that, in addition to all of the polyglutamine diseases, many other neurodegenerative diseases such as Alzheimer, amyotrophic lateral sclerosis, prion disease, and Parkinson disease involve protein misfolding and aggregation (265). Certainly, the near future will bring a better understanding of how the mutations in polyglutamine diseases, as well as other “proteinopathies,” affect protein cleavage, turnover, and protein-protein interactions. These results will provide a platform that, together with studies aimed at enhancing proper folding and degradation, will be invaluable to slow or prevent the disease process.

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